SEC Form 4

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FORM 4

| UNITED STATES SECURITIES AND EXCHANGE COMMISSION |
|--|
| Mashim D.O. 00540 |

Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden | | | | | | |
| hours per response: | | | | | | |

| Check this box if no longer subject | STATE |
|-------------------------------------|-------|
| to Section 16. Form 4 or Form 5 | |
| obligations may continue. See | |
| Instruction 1(b). | |

EMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] $\underline{Nagy \ Leslie \ K}$ | | | 2. Issuer Name and Ticker or Trading Symbol <u>WASTE MANAGEMENT INC</u> [WM] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner |
|--|-------------------------|----------------------|--|--|
| (Last) 800 CAPITO | (First) L STREET, SU | (Middle) ITE 3000 | 3. Date of Earliest Transaction (Month/Day/Year) 02/16/2022 | X Officer (give title Other (specify below) below) VP & Chief Accounting Officer |
| , | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) |
| (Street) HOUSTON | TX | 77002 | | X Form filed by One Reporting Person Form filed by More than One Reporting Person |
| (City) | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication | nt to a contract, instruction or written plan that is intended to |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a 5) | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|--|---------------|----------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 02/16/2022(1) | | Р | | 2 | A | \$141.63 | 5,960.7907 | D | |
| Common Stock | 02/17/2022(1) | | Р | | 3 | A | \$143.26 | 5,551.7907 | D | |
| Common Stock | 02/22/2022(1) | | Р | | 2 | A | \$141.17 | 5,435.7907 | D | |
| Common Stock | 02/24/2022(1) | | Р | | 2 | A | \$140.04 | 5,361.7907 | D | |
| Common Stock | 02/25/2022(1) | | Р | | 2 | A | \$144.49 | 5,363.7907 | D | |
| Common Stock | 09/21/2022(1) | | Р | | 2 | A | \$171.53 | 4,949.5436 | D | |
| Common Stock | | | | | | | | 165.7382 | Ι | By 401(k) Plan |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | | - | | | | - | | | | |
|---|---|--|---|------------------------------|---|-------------|---------------------------|--|--------------------|--|--|--|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv | r osed) r. 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Expiration Date Amount of (Month/Day/Year) Securities Underlying Derivative | | r) Amount of Securities Underlying (Instr. 5) Derivative Security (Instr. 3 and 4) | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | |

Explanation of Responses:

1. Ms. Nagy recently learned that her investment adviser executed unauthorized purchases of WM common stock totaling 13 shares in her individual retirement account. The purchases occurred in 2022 when Ms. Nagy was serving as Vice President and Chief Accounting Officer, although she transitioned to a new role with the Company on March 7, 2023 and is no longer an executive officer. She has delivered \$302.51 to the Company in payment of profits on opposite-way transactions occurring within a six-month period.

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| fact | <u>iney</u> | <u> 11ppy</u> , | <u>, Au</u> | orney- | <u></u> | 04/28/2023 |
| <u>nuor</u> | | | | _ | | |

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.