SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1 I. Marine and Address of Reporting Feison		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>WASTE MANAGEMENT INC</u> [WM]		tionship of Reporting Pers all applicable) Director	10% Owner	
(Last) 1001 FANNIN	(First) STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/31/2018	X	Officer (give title below) Pres, Chief Executi	Other (specify below) e Officer	
(Street) HOUSTON (City)	TX (State)	77002 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock ⁽¹⁾	10/31/2018		М		32,383	A	\$73.335	219,824.6487	D	
Common Stock ⁽¹⁾	10/31/2018		М		30,210	A	\$54.635	250,034.6487	D	
Common Stock ⁽¹⁾	10/31/2018		М		13,629	A	\$56.235	263,663.6487	D	
Common Stock	10/31/2018		F		62,283	D	\$ <mark>90</mark>	201,380.6487	D	
Common Stock								3,806.2446	I	By 401(k) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. 2. Conversion Derivative Date Execution Date, Transaction of Expiration Date of Securities derivative Ownership of Indirect Security (Instr. 3) or Exercise Price of Underlying Derivative Security (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Security Securities Form: Beneficial Direct (D) 8) Securities (Instr. 5) Beneficially Ownership Acquired (A) or Disposed Derivative Security Owned Following or Indirect (I) (Instr. 4) (Instr. 3 and 4) (Instr. 4) Reported of (D) (Instr 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares Stock Option Commor 10/31/2018 \$54.635 Μ 30.210 02/25/2016 02/25/2025 30,210 \$54.635 0.0000 D (Right to Stock Buy)⁽²⁾ Stock Option Commoi \$56.235 10/31/2018 02/26/2017 02/26/2026 27,259 Μ 13.629 13,629 \$56.235 D (Right to Stock Buy)⁽²⁾ Stock Option Common \$73.335 10/31/2018 32.383 02/28/2018 02/28/2027 32,383 97.151 Μ \$73.335 D (Right to Stock Buy)⁽²⁾

Explanation of Responses:

1. Stock option exercise and shares withheld to pay option costs and taxes pursuant to a Rule 10b5-1 Trading Plan.

2. Stock option granted pursuant to Waste Management, Inc. 2014 Stock Incentive Plan. The stock option vests 25% on the first and second anniversary of the date of grant with the remaining 50% on the third anniversary of the date of grant.

Courtney Tippy, Attorney-in-

** Signature of Reporting Person

fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

11/02/2018

Date